

## Instructions/Checklist for filling KYC form

### A. Important points

1. Self-attested copy of PAN card is mandatory for all clients, including Promoters /Partners /Karta /Trustees and whole-time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted along with other statutory approvals required for investment in commodity / securities.
10. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state-owned corporations, important political party officials, etc

### B. Proof of Identity (POI)

List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card / document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

### C. Proof of Address (POA)

List of documents admissible as Proof of Address: (\*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary Public / Elected representatives to the Legislative Assembly/ Parliament / Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. The proof of address in the name of the spouse may be accepted.

### D. Exemptions/clarifications to PAN (\*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and /or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim (subject to the continued exemption granted by the Government).

### E. List of people authorized to attest the documents

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

### F. In case of non-individuals, additional documents are to be collected from the non-individual entity, over and above the POI and POA, as mentioned below.

Type of entity	Documents required
Corporate	Copy of balance sheets for the last 2 financial years (to be submitted every year)   Copy of latest share holding pattern, including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover regulations, duly certified by the company secretary/whole time director/MD (to be submitted every year)   Photograph, POI, POA, PAN and DIN numbers of whole time directors/ two directors in charge of day to day operations   Photograph, POI, POA & PAN of individual promoters holding control either directly or indirectly   Copies of Memorandum and Articles of Association and Certificate of Incorporation   Copy of Board Resolution for the investment in commodity / securities market   Copy of Board Resolution or declaration (on letterhead) naming the persons authorized to deal in the commodity / securities derivatives on behalf of the company
Partnership firm	Copy of balance sheets for the last 2 financial years (to be submitted every year)   Copy of registration/partnership deed.   Authorized signatories list with specimen signatures   Photograph and copies of POI, POA & PAN of the partners.
Trust	Copy of balance sheets for the last 2 financial years (to be submitted every year)   Copy of registration/trust deed.   List of trustees certified by managing trustees/CA   Photograph and copies of POI, POA & PAN of the trustees.
HUF	PAN of HUF   Deed of declaration of HUF & list of copartners   Bank statement/pass-book of HUF   Photograph, POI, POA & PAN of Karta
Government bodies	Self-certification on letterhead   Authorized signatories list with specimen signatures
Registered society	Copy of Registration Certificate under Societies Registration Act   List of Managing Committee Members   Committee resolution for persons authorised to act as authorised signatories with specimen signatures   True copy of society rules and bye laws certified by the Chairman/Secretary

G. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/ and IFSC Code of the bank should be submitted.

H. Proof of income : A recent month salary slip/6-month bank statement/demat holding statement

I. Demat master or recent holding statement issued by DP bearing name of the client.

For Individuals:

a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.

For Individuals:

a. Form need to be initialized by all the authorized signatories.

b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/others & their specimen signatures.

**ENRICH FINANCIAL SOLUTION PRIVATE LIMITED**

MEMBERS: Multi Commodity Exchange of India Ltd. (MCX), National Stock exchange (NSE) & Bombay Stock Exchange (BSE)

Registered office & Corporate/correspondence Office:

#241, Ziad Court, 3rd Floor B, Rangarajapuram Main Road, Kodambakkam, Chennai - 600024, Tamil Nadu, India

Tel:+91-44-40063636>, Fax:+91-44-40063688 Email: [grievance@enrichbroking.com](mailto:grievance@enrichbroking.com), website: [www.enrichmoney.in](http://www.enrichmoney.in)

CN- U67190TN2013PTC089912 | GSTIN. 33AADCE3029M1ZQ

Member Of	Membership No	SEBI Reg No:	FMC UMC Regd.No.
Multi Commodity Exchange of India Ltd	55250	INZ000078632	MCX/TM/CORP/2062
National Stock Exchange	90145	INZ000078632	
Bombay Stock Exchange	6744	INZ000078632	
CDSL	12092400	IN-DP-578-2021	

For any grievance/dispute please contact member M/s. ENRICH FINANCIAL SOLUTION PVT.LTD., at the above address or email Id [grievance@enrichbroking.com](mailto:grievance@enrichbroking.com) and phone No.+91-44-40063655 in case not satisfied with the response, please contact the concerned exchange(s) as given below:

	Email ID	Phone No:
Multi Commodity Exchange of India Ltd	<a href="mailto:grievance@mcxindia.com">grievance@mcxindia.com</a>	+91-22-6731 8888
National Stock Exchange	<a href="mailto:ignse@nse.co.in">ignse@nse.co.in</a>	+91-22-2659 8100
Bombay Stock Exchange	<a href="mailto:ISC.Mumbai@bseindia.com">ISC.Mumbai@bseindia.com</a>	022 22728517
Central Depository Services Limited	<a href="mailto:compliers@cdslindia.com">compliers@cdslindia.com</a>	1800-22-5533

**Compliance Officer**  
Name: Mr. Rajesh C  
Phone No:044-40063655  
Email Id: [grievance@enrichbroking.com](mailto:grievance@enrichbroking.com)

**CEO/Managing Director**  
Name: Ponmudi.R  
Phone No:044-40063653  
Email Id: [grievance@enrichbroking.com](mailto:grievance@enrichbroking.com)

**(Index) MANDATORY DOCUMENTS AS PRESCRIBES BY SEBI & EXCHANGES**

Sl.No.	Name of the Document	Brief Significant of the document	Page No
1	KYC(Account Opening Application Form)	KYC Form-Documents captures the basic information about the constituent and an instruction / Check list	3
2	Application For Opening Demat	Part -1 Additional Kyc form for opening an Demat account	10
3	Nominate form for Demat account	Part -2 Nominate form for Demat account	12
4	Annexures – Regular/Basic services demat account BSDA) and separate Mobile Number & Email id declaration	Annexure of Regular/BSDA	16
5	Rights and Obligation	Consent given on 9th page	9
6	Policies and Procedures	Consent given on 9th page	9
7	Uniform Risk Disclosure Document(RDD)	Consent given on 9th page	9
8	Extended KYC Annexure	Individuals (including Sole - Proprietors) FATCA/CRS	20
9	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the all Exchange(s)	22
10	LITERATURE FOR AML INFORMATION	Consent given on 24th page	24

**VOLUNTARY DOCUMENTS AS PROVIDED BY THE MEMBER**

11	ECN Declaration	Electronic Contract Note Declaration for receiving contract notes by mail.	26
12	Running account authorization	Required for maintaining clients account on a running Account basis and for other authorization.	27
13	Disclosure by Member & Declaration by Client under PMLA 2002	IN-DP-578-2021	28

**CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual | Correspondence / Local Address**

**Important Instruction:**

- A) Fields marked with (\*) are mandatory fields  
B) Please fill the form in English and in BLOCK letters  
C) Please fill the date in DD-MM-YYYY format  
D) Please read section wise detailed guidelines/instructions at the end  
E) List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.  
F) List of two-character ISO 3166 country codes is available at the end  
G) KYC number of applicants is mandatory for update application.  
H) For particular section update, please tick ( ) in the box available before the section number and strike off the sections not required to be updated



**For office use only**

(to be filled by financial instruction)

Application Type\*  New  Update

KYC Number

(Mandatory for KYC update request)

Application Type\*  Normal  Simplified (for low risk customers)  Small

**1.PERSONAL DETAILS (Please refer instruction A at the end)**

Prefix	FirstName	MiddleName	LastName	Photo
<input type="checkbox"/> Name* (same as ID Proof)				profile
Maiden name (If any*)				
Father / Spouse name *				
Mother Name *				
Date of Birth*				
Gender*	<input type="checkbox"/> M-Male	<input type="checkbox"/> F-Female	<input type="checkbox"/> T-Transgender	
Marital Status *	<input type="checkbox"/> Married	<input type="checkbox"/> Unmarried	<input type="checkbox"/> Others	
Citizenship *	<input type="checkbox"/> IN-Indian	<input type="checkbox"/> Others (ISO 3166 Country Code)		
Residential Status *	<input type="checkbox"/> Residential Individual	<input type="checkbox"/> Non Residential individual		
Occupation Type *	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin		
	<input type="checkbox"/> S-Service	( <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Student )		
	<input type="checkbox"/> O-Others	( <input type="checkbox"/> Professional <input type="checkbox"/> Self Employed		
	<input type="checkbox"/> B-Business	<input type="checkbox"/> Housewife	<input type="checkbox"/> Government Sector	
	<input type="checkbox"/> X-Not categorized	<input type="checkbox"/> Agriculturist	<input type="checkbox"/> Retired )	

**2. TICK IF APPLICABLE RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer instruction B at the end.)**

ADDITIONAL DETAILS REQUIRED\* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence \*

Tax Identification Number or equivalent(If issued by Jurisdiction) \*

Place/City of Birth\*

ISO 3166 Country Code of Birth

**3. PROOF OF IDENTITY (Pol) \* (Please refer instruction C at the end)**

(Certified copy of any one of the following Proof of Identity[Pol] needs to be submitted)

A-Passport Number	Passport Expiry Date
B-Voter ID card	
C-PAN card	
D-Driving Licence	Driving License Expiry Date
E-UID(Aadhaar)	
F-NREGA Job card	
Z-Others(any document notified by the central Government)	Identification Number
S-Simplified Measures Account - Document Type code	Identification Number

**4.PROOF OF ADDRESS(PoA) \* (Please refer instruction D at the end)**

**4.1 CURRENT/PERMANENT/OVERSEAS ADDRESS DETAILS**

(certified copy of any one of the following proof of Address (PoA)needs to be submitted)

Address Type *	<input type="checkbox"/> Residential/Business	<input type="checkbox"/> Residential	<input type="checkbox"/> Registered Office	<input type="checkbox"/> Unspecified
Proof of Address	<input type="checkbox"/> Passport	<input type="checkbox"/> Driving Licence	<input type="checkbox"/> UID(Aadhaar)	
	<input type="checkbox"/> Voter Identity card	<input type="checkbox"/> NREGA Job card	<input type="checkbox"/> Others	

Address  Simplified Measures Account – Document Type code

Line 1 \*

Line 2 \*

Line 3 \*

District \*

Pin/Post Code\*

City/Town/Village \*

State/U.T.Code\*

ISO 3166 Country Code\* IN

**4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS\* (Please refer instruction E at the end)**

Same as Current/Permanent/Overseas Address details (In case of multiple correspondence / local addresses, please fill "Annexure A1")

Line 1 \*  
 Line 2 \*  
 Line 3 \*  
 District \* Pin/Post Code\* City/Town/Village \* State/U.T.Code\* ISO 3166 Country Code\* IN

**4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSES\* (Applicable if section 2 is ticked)**

Same as Current/Permanent/Overseas Address details (In case of multiple correspondence / local addresses, please fill "Annexure A1")

Line 1 \*  
 Line 2 \*  
 Line 3 \*  
 District\* Pin/Post Code\* City/Town/Village \* State/U.T.Code\* ISO 3166 Country Code\*

**5 CONTACT DETAILS (All communications will be sent on provided Mobile No./Email ID)( Please refer instruction F at the end.)**

Tel.(Off) : Tel.(Res) : Mobile No : +91-  
 Fax : Email Id :

**6 DETAILS OF RELATED PERSON (In case of additional related persons, please fill "Annexure B1") Please refer instruction G at the end.)**

Additional of Related Person  Deletion of Related Person KYC Number of Related Person (if available\*)  
 Related Person Type\*  Guardian of Minor  Assignee  Authorized Representative  
 Prefix FirstName MiddleName LastName

Name\*  
 (If KYC number and name are provided, below details of section 6 are optional)

**PROOF OF IDENTITY (PoI) OF RELATED PERSON\* (Please refer instruction H at the end)**

A-Passport Number Passport Expiry Date  
 B-Voter ID card  
 C-PAN card  
 D-Driving Licence Driving License Expiry Date  
 E-UID(Aadhaar)  
 F-NREGA Job card  
 Z-Others(any document notified by the central Government) Identification Number  
 S-Simplified Measures Account - Document Type code Identification Number

**7. REMARKS (If any)**

**8. APPLICANT DECLARATION**

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it. I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/ email address.

Date Place

**9. ATTESTATION/FOR OFFICE USE ONLY**

Documents Received  Certified Copies

**KYC VERIFICATION CARRIED OUT BY**

**IN PERSON VERIFICATION DONE**

Date  
 Emp.Name  
 Emp.Code  
 Emp.Designation  
 Emp.Branch

sign  
 \_\_\_\_\_  
 (Employee Signature)

**INSTITUTION DETAILS**

Name **ENRICH FINANCIAL SOLUTION PVT.LTD.**

Code IN2605

[INSTITUTION STAMP]

**General Instructions:**

1. Fields marked with ‘\*’ are mandatory field.
2. Tick ‘a’ wherever applicable.
3. Self-Certification of documents is mandatory.
4. Please fill the form in English and in BLOCK Letters.
5. Please fill all dates in DD-MM-YYYY format.
6. Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available at the end.
7. KYC number of applicant is mandatory for updating of KYC details. in the box available before the section number and strike off the sections not required to be updated. II
8. For particular section update, please tick (a) in the box available before the section number and strike off the sections not required to be updated.
9. In case of ‘Small Account type’ only personal details at section number 1 and 2, photograph, signature and self-certification required.

**A Clarification / Guidelines on filling ‘Personal Details’ section**

1. **Name :** Please state the name with Prefix (Mr/Mrs/Ms/Dr/etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
2. Either father’s name or spouse’s name is to be mandatorily furnished. In case PAN is not available father’s name is mandatory.

**B Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India**

**Tax identification Number (TIN):** TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a “Functional equivalent”), the same may be reported. (Examples of that type of number for include, a social security/insurance number, citizen/personal identification/services code/number, and resident registration number

**C Clarification / Guidelines on filling ‘Proof of Identity [PoI]’ section**

1. If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
2. If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
3. In case of Simplified Measures Accounts for verifying the identity of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 3 (s)

Document Code	Description
01	Identity card with applicant’s photograph issued by Central/ State Government Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, and Public Financial .
02	Letter issued by a gazetted officer, with a duly attested photograph of the person.

**D Clarification / Guidelines on filling ‘Proof of Address [PoA] - Current / Permanent / Overseas Address details’ section**

1. PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
2. State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
3. .In case of Simplified Measures Accounts for verifying the address of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 4.1.

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal Tax receipt.
03	Bank account or Post Office savings bank account statement.
04	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
05	Letter of allotment of accommodation from employer issued by State or Central Government departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies . Similarly, leave and license agreements with such employers allotting official accommodation.
06	Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in india.

**E Clarification / Guidelines on filling ‘Proof of Address [PoA] - Correspondence / Local Address details’ section**

1. To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
2. In case of multiple correspondence / local addresses, Please fill ‘Annexure A1’

**F Clarification / Guidelines on filling ‘Contact details’ section**

1. Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9876543210).
2. Do not add ‘0’ in the beginning of Mobile number.

**G Clarification / Guidelines on filling ‘Related Person details’ section**

1. Provide KYC number of related person if available.

**H Clarification / Guidelines on filling ‘Related Person details Proof of Identity [PoI] of Related Person’ section**

1. Mention identification / reference number if ‘Z- Others (any document notified by the central government)’ is ticked.

ENRICH FINANCIAL SOLUTION PRIVATE LIMITED  
 Member of Multi Commodity Exchange of India Ltd. (MCX)  
 , National Stock Exchange (NSE)  
 & Bombay Stock Exchange (BSE)  
 #241, Ziad Court, 3rd Floor B, Rangarajapuram Main Road,  
 Kodambakkam, Chennai - 600024, Tamil Nadu, India.

Ph: +91-44-40063663,  
 Fax:+91-44-40063688  
 Email: grievance@enrichbroking.com  
 Website: www.enrichmoney.in

**Annexure A1**

**CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual | Correspondence / Local Address**

**Important Instruction:**

- A) Fields marked with (\*) are mandatory fields
- B) Please fill the form in English and in BLOCK letters
- C) Please fill the date in DD-MM-YYYY format
- D) Please read section wise detailed guidelines/instructions at the end
- E) List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two-character ISO 3166 country codes is available at the end
- G) KYC number of applicants is mandatory for update application.
- H) For particular section update, please tick ( ) in the box available before the section number and strike off the sections not required to be updated



**For office use only**

(to be filled by financial instruction)

Application Type\*  New  Update

KYC Number

(Mandatory for KYC update request)

**1. CORRESPONDENCE / LOCAL ADDRESS DETAILS\* (Please refer instruction E at the end)**

**Same as Current/Permanent/Overseas Address details (In case of multiple correspondence / local addresses, please fill "Annexure A1")**

Line 1 \*

Line 2 \*

Line 3 \*

District \*

Pin/Post Code\*

City/Town/Village \*

State/U.T.Code\*

ISO 3166 Country Code\*

**2. CONTACT DETAILS (All communications will be sent on provided Mobile No./Email ID) ( Please refer instruction F at the end.)**

Tel.(Off)

Tel.(Res)

Mobile No

Fax

Email Id

**3. APPLICANT DECLARATION**

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Date :

Place :

Signature / Thumb Impression of Applicant

**ENRICH FINANCIAL SOLUTION PRIVATE LIMITED**  
 Member of Multi Commodity Exchange of India Ltd. (MCX)  
 , National Stock Exchange (NSE)  
 & Bombay Stock Exchange (BSE)  
 #241, Ziad Court, 3rd Floor B, Rangarajapuram Main Road,  
 Kodambakkam, Chennai - 600024, Tamil Nadu, India.

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 Fax:+91-44-40063688  
 Email: grievance@enrichbroking.com  
 Website: www.enrichmoney.in

**CENTRAL KYC REGISTRY | KNOW YOUR CUSTOMER (KYC) APPLICATION FORM | INDIVIDUAL**

**Important Instruction:**

- A) Fields marked with (\*) are mandatory fields
- B) Please fill the form in English and in BLOCK letters
- C) Please fill the date in DD-MM-YYYY format
- D) Please read section wise detailed guidelines/instructions at the end
- E) List of State/U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.

- F) List of two-character ISO 3166 country codes is available at the end
- G) KYC number of applicants is mandatory for update application.
- H) For particular section update, please tick ( ) in the box available before the section number and strike off the sections not required to be updated



**For office use only**

(to be filled by financial instruction)

Application Type\*  New  Update

KYC Number

(Mandatory for KYC update request)

**1.DETAILS OF RELATED PERSON (In case of additional related persons, please fill "Annexure B1") Please refer instruction G at the end.)**

Additional of Related Person       Deletion of Related Person      KYC Number of Related Person (if available\*)  
 Related Person Type\*       Guardian of Minor       Assignee       Authorized Representative  
    Prefix      FirstName      MiddleName      LastName

Name\*

(If KYC number and name are provided, below details of section 6 are optional)

**2.PROOF OF IDENTITY (PoI) OF RELATED PERSON\* (Please refer instruction H at the end)**

A-Passport Number      Passport Expiry Date  
 B-Voter ID card  
 C-PAN card  
 D-Driving Licence      Driving License Expiry Date  
 E-UID(Aadhaar)  
 F-NREGA Job card  
 Z-Others(any document notified by the central Government)      Identification Number  
 S-Simplified Measures Account - Document Type code      Identification Number

**3. APPLICANT DECLARATION**

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am aware that I may be held liable for it.

Date :      Place :      Signature / Thumb Impression of Applicant

**4.ATTESTATION/FOR OFFICE USE ONLY**

Documents Received       Certified Copies

**KYC VERIFICATION CARRIED OUT BY**

**IN PERSON VERIFICATION DONE**

Date  
 Emp.Name  
 Emp.Code  
 Emp.Designation  
 Emp.Branch

(Employee Signature)

**INSTITUTION DETAILS**

Name ENRICH FINANCIAL SOLUTION PVT.LTD.

Code      IN2605

[INSTITUTION STAMP]

**Annexure-1**

**Know Your Client(KYC) Application Form For Individuals**

photo

Please fill this form in ENGLISH and in BLOCK LETTERS

**A. OTHER DETAILS**

**1. GROSS ANNUAL INCOME DETAILS (Please Specify)**

Income Range per annum	<input type="checkbox"/> Below `1 Lac	<input type="checkbox"/> `1-5 Lac	<input type="checkbox"/> `5-10 Lac
(OR) Networth Amount ( ` ) _____ as on _____	<input type="checkbox"/> `10-25 Lac	<input type="checkbox"/> `25 Lacs (Networth should not be older than 1 year)	

**2. OCCUPATION**

(Please tick any one and given brief details)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Student <input type="checkbox"/> Professional				
	<input type="checkbox"/> Self Employment <input type="checkbox"/> HouseWife <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Other(Specify_)				
	Brief Details				

**3. Please tick, if applicable**

<input type="checkbox"/> Politically Exposed Person (PEP)	<input type="checkbox"/> Related to a Politically Exposed Person (RPEP)
<input type="checkbox"/> Not a Politically Exposed Person (PEP)	<input type="checkbox"/> Not Related to a Politically Exposed Person (RPEP)

**B. BANK ACCOUNT(S) DETAILS**

Sr. No.	Bank Name	Branch Address & Pin Code	Type of Bank Account / A/c No	MICR Code*
1.			<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Others A/c No :	IFSC Code:
2.			<input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> Others A/c No :	IFSC Code:

Note: Cheque/Online payment should be transferred only in favor of "Enrich Financial Solution Private limited".

**C. DEPOSITORY ACCOUNT(S) DETAILS, if available**

Sr. No.	Depository Participant Name	Depository Name	Beneficiary Name	DP ID	Beneficiary ID (BO ID)
1.	Enrich Financial Solution Pvt. Ltd	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL		12092400	
2.		<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL			

Note: Provide a copy of either Demat Master or a recent holding statement issued by DP bearing name of the client.

**D. TRADING PREFERENCES**

Note: Please sign in the relevant boxes against the Exchange with which you wish to trade. The Exchange not chosen should be struck off by the client.

S. No.	Name of the Exchanges	Date of Consent for trading on concerned Exchange	Signature of the Client
<input type="checkbox"/>	MCX (Future)		
<input type="checkbox"/>	MCX (Option)		
<input type="checkbox"/>	BSE (Cash)		
<input type="checkbox"/>	BSE (Future & Options)		
<input type="checkbox"/>	BSE (Currency)		
<input type="checkbox"/>	NSE (Cash)		
<input type="checkbox"/>	NSE (Future & Options)		

#At the time of printing the form, the Member must specify the names of the Exchanges where the Member has membership. [In case of allowing a client for trading on any other Exchange at a later date, which is not selected now, a separate consent Letter is required by the Member from client and to be kept as enclosure with this document]

**E. INVESTMENT/TRADING EXPERIENCE**

0-1 Year     1-2 Years     2-5 Years     5-10 Years     10-20 Years     20+ Years




**F. PAST ACTIONS**

Details of any action/proceedings initiated/pending/taken by FMC/SEBI/Stock exchange/ Commodity exchange/any other authority against the client during the last 3 years	<input type="checkbox"/> Yes	<input type="checkbox"/> No
1.		
2.		

**G. DEALINGS THROUGH OTHER TRADING MEMBERS**

Provide details of all in a separate sheet containing all the information as mentioned below):			
Member's / AP's Name		Client Code	
Exchange		Exchange Regn. No.	
Concern Members Name with whom the AP is Registered			
Registered Office Address	-----		
	City/Town/Village		Pin Code
	State		Country
Ph.	Fax	Email	Website
Details of disputes/dues pending from/to such Member/AP:			

**H. INTRODUCER DETAILS (Optional)**

Name of the Introducer			
Status of the Introducer	<input type="checkbox"/> Existing Client	<input type="checkbox"/> Authorized Person	<input type="checkbox"/> Others, please specify
Address of the Introducer	City/Town/Village		Pin Code
	State		Country
			INDIA
Phone No	044 40063663		 Signature of the Introducer
Client Code/Client ID (If Existing Client)			

**I. ADDITIONAL DETAILS**

Whether you wish to receive communication from Member in electronic form on your Email Id./Mobile No. (if yes then please fill in Appendix - A)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Whether you wish to avail of the facility of Internet Trading/Wireless Technology	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Whether you wish to receive complete KYC (Risk Disclosure, Rights and Obligation, Do's and Don'ts, Policies and Procedures) from Member in electronic form on your Email Id.	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**DECLARATION**

<p>I / We undertake that we have made the client aware of <b>'Policy and Procedures'</b>, tariff sheet and all the non-mandatory documents. I/ We have also made the client aware of <b>'Rights and Obligations'</b> document (s), <b>RDD and Guidance Note</b>. I/ We have given/sent him a copy of all the KYC documents. I/ We undertake that any change in the <b>'Policy and Procedures'</b>, tariff sheet and all the nonmandatory documents would be duly intimated to the clients. I/ We also undertake that any change in the <b>'Rights and Obligations'</b> and <b>RDD</b> would be made available on my/ our website, if any, for the information of the clients.</p> <p>Place: _____</p> <p>Date: _____</p> <p style="text-align: right;">Signature of the Client</p>
--

**Additional KYC Form for Opening a Demat Account for Individuals**

(To be filled by the Depository Participant)

Application No		Date		DP Internal Reference No	
DP ID			Client Id		

To be filled by the applicant in BLOCK LETTERS in English)

**I/We request you to open a demat account in my/ our name as per following details:**

**Holder Details**

Sole / First Holder's Name					
PAN				UID	
Second Holder's Name					
PAN				UID	
Third Holder's Name					
PAN				UID	

\*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, should be mentioned above.

**Type of Account (Please tick whichever is applicable)**

Status	Sub - Status		
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify)	
<input type="checkbox"/> Foreign National	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others(specify) ____	
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depository Receipt	<input type="checkbox"/> Others(specify) ____

**Details of Guardian (in case the account holder is minor)**

Guardian's Name		PAN	
Relationship with the applicant			

I/ We instruct the DP to receive each and every credit in my/ourTrust, etc., account (If not marked, the default option would be 'Yes')  Yes  No  
 [Automatic Credit]

I/ We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')  Yes  No

Account Statement Requirement  As per SEBI Regulations  Daily  Weekly  Monthly  Fortnightly

I/ We request you to send electronic transaction-cum-holding statement at the following  Yes  No

Email	
-------	--

I/ We would like to share the email ID with the RTA  Yes  No

I/ We would like to receive the Annual Report Tick the applicable box. If  Physical  Electronic  Both Physical and Electronic not marked the default option would be in Physical)

I/We would wish to avail the following facility: Basic Service Demat Account facility (BSDA)  Opted out  Yes  No

I/We wish to receive dividend/interest directly in to my bank account as given below through ECS.  
(If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]

Yes  No

### Bank Details [Dividend Bank Details]

Account type  Savings  Current  Others \_\_\_\_\_

Bank Code (9-digit MICR code)		IFS Code (11 character)	
Account number			
Bank Name			
Branch Name			
Bank Branch Address			
City		State	
Country		PIN code	

(I) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)

(ii) Photocopy of the bank statement having name and address of the BO

(iii) Photocopy of the passbook having name and address of the BO, (or) (IV) Letter from the Bank

\*In case of options (ii), (iii) and (IV) above, MICR code of the branch should be present/mentioned on the document

### Other Details

Gross Annual Income Details (please specify): Income Range per annum

Below 1 Lac  1-5 Lac  5-10 Lac  10-25 Lac  > 25 Lacs


Or Net-worth as on date (Net worth should not be older than 1year)

Occupation:  Private Sector  Public Sector  Student  Self Employed  Government Sector  
 Retired  House wife  Professional  Agriculturist  Other(Specify\_)

Please tick, if  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (RPEP)  
 Not a Politically Exposed Person (PEP)  Not Related to a Politically Exposed Person (RPEP)

Any other information: \_\_\_\_\_

SMS Alert Facility Refer to Terms & Conditions given in Annexure - 2.4	MOBILE NO : +91- [Mandatory, if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).
--	---

	To register for easi, please visit our website <a href="http://www.cdslindia.com">www.cdslindia.com</a> . Easi allow a BO to view his ISIN balances, transactions and value of the portfolio online.
---	--

**NOMINATION DETAILS**

Mr/Mrs/Miss.

I /We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

Nomination Registration No	Date

- I/We do not wish to nominate anyone for this Demat account
- I/ We wish to make a nomination and do hereby nominate the following person(s) Who shall the receive all the assets held in my / our account at the event of my/ our death.

NOMINATION DETAILS	NOMINEE 1	NOMINEE 2	NOMINEE 3
Name of the Nominee(s) Mr./Ms.			
Share of Each nominee / Equally ( if not Equally , please specify percentage)			
Relationship With The Applicant			
Address of the Nominee(s)			
City			
Pin Code			
State			
Mobile/ Telephone no of Nominee(s)			
Email Id of Nominee(s)			
Country			
Pan No			
UID			
DOB			

Sr. Nos. 14 Should be filled only if nominee(s) is the minor

Date of Birth ( incase minor nominee )			
Name of Guardian Mr./Ms. ( incase minor nominee )			
Address of Guardian			
City			
State			
Country			
Pin Code			
Age			
Mobile/ Telephone no of Guardian			
Email Id of Guardian			
Relationship of Guardian With Nominee			
Percentage of allocation of securities			
Residual securities[Please tick any one nominee.If tick not marked default will be first nominee]:			

**Note:** : Residual securities: in case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us

Note :One witness shall attest signature(s) / thumb impression(s)

**Signature of Witness for Nomination**

Name of the Witness	Address	Signature of witness

I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details/ Particulars mentioned by me/us in this form. I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

Sole/ First Holder/ (Mr./Ms.)		
Second Holder (Mr./Ms.)		
Third Holder (Mr./Ms.)		

(Signatures should be preferably in blue ink).

OPTION FORM FOR ISSUE OF DIS BOOKLET

Date :

DP ID		Client ID	
First Holder Name			
Second Holder Name			
Third Holder Name			

To,

**Enrich Financial Solution Pvt. Ltd**  
 #241, Ziad Court, 3rd Floor B, Rangarajapuram Main Road,  
 Kodambakkam, Chennai - 600024, Tamil Nadu, India.

Dear Sir / Madam,

I/We hereby state that: [select one of the options given below]

**OPTION 1:**

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening \$ of my / our CDSL account though I / we have issued a Power of Attorney (POA) / \$ registered for eDIS/ executed PMS agreement in favour of / with **Enrich Financial Solution Pvt.Ltd.** (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such \$ Power of Attorney holder -Clearing Member / by PMS manager/ \$ for executing delivery instructions through eDIS.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

OR

**OPTION 2:**

I / We do not require the Delivery Instruction Slip (DIS) \$ booklet for the time being, since I / We have issued a POA/ \$ registered for eDIS / executed PMS agreement in favour of / with **Enrich Financial Solution Pvt.Ltd.** (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for settling stock exchange trades [settlement related transactions] effected through such \$ Power of Attorney Holder - Clearing Member / by PMS manager \$ or for executing delivery instructions through eDIS. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

ENRICH FINANCIAL SOLUTION PVT. LTD.

Member of Multi Commodity Exchange of India Ltd.(MCX)  
, National Stock Exchange (NSE) & Bombay Stock Exchange (BSE)  
#241, Ziad Court, 3rd Floor B, Rangarajapuram Main Road,  
Kodambakkam, Chennai - 600024, TamilNadu, India.

Ph: +91-44-40063663,  
Fax:+91-44-40063688  
Email: grievance@enrichbroking.com  
Website:www.enrichmoney.in

<b>Schedule-A Tariff Structure</b>	
<b>For individuals/HUF/corporates</b>	
<b>Details</b>	
Stamp charges payable upfront (POA)	Rs.100
<b>Annual Maintenance Charges</b>	
a Individuals/Non-Individual (except Corporate)	Rs.300
b Non-Individual - Corporate	Rs.1000
<b>Transaction Charges : (Market Trades)</b>	
a Buy (Receive)	NIL
b Sell (Debit)	Rs. 15 + Rs. 5.50(CDSL)
<b>Transaction Charges: (Off-Market Trades)</b>	
a Buy (Receive)	NIL
b Sell (Debit)	Rs. 15 + Rs. 5.50(CDSL)
<b>Demat (Per certificate)</b>	Rs. 20 (Per Certificate) + Rs. 40 (Per Request)
<b>Remat (Per certificate)</b>	For every 100 shares Rs. 25 Subject to maximum 500000 or flat fee Rs. 25 per certificate whichever is higher
Courier charges per Demat/Remat/Demat Rejn.	Inland Address Rs.70/- per request; Foreign Address Rs.500/- per request
Pledge Request	0.02% Of the value of the txn or Rs. 40 (For pledge) Invocation - 0.04% Of the value of the txn
Unpledged Request	0.02% Of the value of the txn or Rs. 40 (For Un-pledge) Invocation - 0.04% Of the value of the txn
Pledge invocation	0.02% Of the value of the txn or Rs. 40 (For Invocation) Invocation - 0.04% Of the value of the txn
<b>Periodic Statement</b>	
a By Email	Free
b Physical	Inland Address Rs.70/- per request; Foreign Address Rs.500/- per request
<b>Adhoc / Non-Periodic Statement Requests</b>	
a By Email	Free
b Physical	Inland Address Rs.70/- per request; Foreign Address Rs.500/- per request
<b>Delivery instruction</b>	
a First Delivery Instruction Book	Free
b Every Addl. Booklet (10 Leaves)	Rs.50/-per Booklet + Courier charges as applicable
Failed Transactions	Rs.25/- - Per instruction
Modification in CML	Rs.25/

For all purposes the bill date shall be construed as the date demand and the bills will be considered as the bill cum notice for payment and Enrich Financial Solution Pvt. Ltd. reserves the right to freeze depository account for debit transaction in case of non-payment of charges after two days from the bill date. Interest at 18% p.a will be charged on the outstanding bill amount if not paid within the due date. The above tariff is subject to change. Changes if any will be intimated 30 days in advance Annual Maintenance Charge (AMC) is non-refundable. GST is applicable on all above charges except stamp charges.

**Sole/First Holder**

**Sole/Second Holder**

**Sole/Third Holder**

**ENRICH FINANCIAL SOLUTION PVT. LTD.**

Member of Multi Commodity Exchange of India Ltd.(MCX)  
, National Stock Exchange (NSE) & Bombay Stock Exchange (BSE)  
#241, Ziad Court, 3rd Floor B, Rangarajapuram Main Road,  
Kodambakkam, Chennai - 600024, TamilNadu, India.

Ph: +91-44-40063663,  
Fax:+91-44-40063688  
Email: grievance@enrichbroking.com  
Website:www.enrichmoney.in

Date :

Dear Sir,

**Sub: Requesting for bill/transaction/holding statement through email.**

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account no. at following email address:

I/We fully agree and are aware of following Terms and Conditions mentioned below.

- A. That I/We will not receive the bill, transaction and holding statements in paper form.
- B. That I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account
- C. That I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.
- D. That I/We in case bill, transaction and holding statements are sent by email, I/We will immediately inform the Enrich Financial Solution Pvt. Ltd.

about change in email address, if any.

In case, the Enrich Financial Solution Pvt. Ltd. is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), Enrich Financial Solution Pvt. Ltd. will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye Laws & Business Rules of CDSL.

Daily

Fortnightly

Weekly

Monthly

Yours faithfully, Sign (12)

**Sole/First Holder**

**Sole/Second Holder**

**Sole/Third Holder**

#### **DECLARATION**

I/We have received and read the Rights and Obligations documents and terms & conditions and agree to abide by and be bound by the same and the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true to the best of my/our knowledge as on the date of making this application.

I/We agree and undertake to intimate the DP of any change(s) in the details/particulars mentioned by me/us in this form. I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.

**Sole/First Holder**

**Sole/Second Holder**

**Sole/Third Holder**



[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

To,

Trading Member/Participant's	Date:
UCC/DP ID	
Client ID (only for Demat account)	
Sole/First Holder Name	
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.	
<b>Name and Signature of Holder(s)*</b>	

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

## Terms and Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

[SMS Alerts will be sent by CDSL to BOs for all debits]

### Definitions:

In these Terms and Conditions, the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

### Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

### Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e., unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for nonavailability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

**Fees:**

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

**Disclaimer:**

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

**Liability and Indemnity:**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

**Amendments:**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

**Governing Law and Jurisdiction:**

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my / our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account / transactions in my / our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

**BOID:** **Client Id:**

Sole / First Holder's Name:

Second Holder's Name:

Third Holder's Name:

Mobile Number on which messages are to be sent : **+91-**

The mobile number is registered in the name of :

Email ID :

**Sole/First Holder**

**Sole/Second Holder**

**Sole/Third Holder**

Place :

Date :

**CBDT Terms and Conditions**

The Central Board of Direct Taxes (CBDT) has notified rules 114F to 114H, as a part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly., within 30 days. Please note that you may receive more than one request of information if you have multiple relationships with Enrich Financial Solution Private Limited or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

**CBDT Instruction**


If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued. Please provide an explanation and attach this to the form. In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant "Curing Documents" as mentioned below:

FATCA/CRS Indicia observed (ticked)		Documentation required for cure of FATCA/CRS Indicia
		If customer does not agree to be specified U.S. person/reportable person status
1.	U.S. Place of Birth	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND 3. Any one of the following documents: a. Certified Copy of "Certificate of loss of Nationality or b. Reasonable explanation of way the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
2.	Residence/mailling address in a country other than India	1. Self-Certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
3.	Telephone number in a country other than India (and no telephone number in India provided)	1. Self-Certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; an 2. Documentary evidence (refer list below)
4.	Standing instruction to transfer to an account maintained in a country other than India	1. Self-Certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; an 2. Documentary evidence (refer list below)

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body\*
2. Valid identification issued by an authorized government body\* (e.g., Passport, National Identity card, etc.)

\*Government or agency thereof or a municipality

For Enrich Financial Solution Pvt Ltd., use only		
Sourcing Branch Name: <b>Head Office</b>	Branch Code: <b>HO</b>	sign 
Signature verified and form approved by: BDA/BM employee Code: <b>E008</b>		Signature & Date:

**FOR OFFICE USE ONLY**

UCC Code allotted to the Client	
	Documents verified with Originals
Name of the Employee / AP*	
Employee Code	
Designation of the Employee / AP*	
Date	
Signature	<div style="border: 1px solid black; width: 150px; height: 80px; display: flex; align-items: center; justify-content: center;">             sign           </div>

Documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Do's and Don'ts and Guidance Note & Policies and Procedures. I/We have given/sent documents him a copy of all the KYC. I/We undertake that any change in the tariff sheet and all the voluntary/non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Date

sign

Signature of the Authorized Signatory



Seal/Stamp of the Member

\*AP: Authorised Person

**BROKERAGE AND STATUTORY CHARGES**
**\*MANDATORY**

<b>Brokerage</b>	
Equity Cash Delivery (NSE/BSE)	
Equity Cash Intraday (NSE/BSE)	
Futures (BFO/NFO/MCX)	
BSE & NSE & MCX Options	
BSE Currency Futures	
BSE Currency Options	
<b>Other Charges</b>	
Account opening charges	Each segment Rs 100 + Gst
For Physical Contract Note	Rs 50
For Cheque bounce/Cheque Cancellation	As per banker's policy
Interest On delayed payment	36% ( per annum)
DIS Booklet	50 per booklet + courier charges
Bracket order charges	Nil
Square Off charges	Rs 20
Call and Trade Charges	Rs 21
Payment Gateway charges	Rs 10 + GST
Short margin penalty	Based on exchange policy
<b>Clearing Member Charges</b>	
Cash	Rs 1000 per crore of Turnover
Futures	Rs 110 per crore of Turnover
Options	Rs. 3000 Per Crore of Turnover
Currency Options	
Currency Derivatives	
Statutory charges,GST,STT,Transaction Charges, Stamp duty,etc are applicable as Per Rule	
GST 18%	Brokerage, Clearing Member Charges, Exchange Turnover Charges
<b>Securities Transaction Tax (STT)</b>	
Delivery in cash	0.10%
Intraday in Cash only on selling side	0.025%
Futures only on selling side	0.01%
Options only on selling side	0.05%
Sale of Option	0.125%
<b>Commodities Transaction Tax (CTT)</b>	
Futures (only one selling side)	0.01% (non-agri)
Options (Only one selling side)	0.05%
Sale of option	0.0001%
Exchange Levy and Stamp duty	As applicable from time to time based on the states
SEBI Charges	Rs. 15 per Crore
Transaction Charges	Rs. 260 per Crore

Note:

1. DP Transaction charges arising out of purchase / sell transactions effected by constituents will be debited to Trading A/c.
2. The aboverates are exclusive of Transaction charges, clearing member charges, Service Tax, Education Cess, Stamp Duty, Securities Transaction Tax & other Levies which will be charged extra as per rate prevailing from time to time.
3. Rates as mention herein above shall be applied unless special rates as may be agreeing upon on case-to-case basis.

**Signature of Client:**

**Client Name:**

**Date:**

**Place:**

As per the requirements of SEBI, implementation of Anti Money Laundering (AML)/ Combating Financing of Terrorism requires trading members as intermediaries to demand certain information from investors which may be of personal nature or has hitherto never been called for. Such information can include documents evidencing source of funds/income tax returns/bank records etc.

This can sometimes lead to raising of questions with regard to the motive and purpose of collecting such information. To, sensitize about these requirements as the ones emanating from AML and CFT framework, General FAQs as published by The Financial Action Task Force (FATF), an inter-governmental body whose purpose is the development and promotion of policies, both at national and international levels, to combat money laundering and terrorist financing is reproduced herewith. Kindly feel free to visit the websites <http://www.flatf-gafi.org/> and <http://www.fuindia.gov.in> for more information on the subject

what is Money Laundering?

The goal of a large number of criminal acts is to generate a profit for the individual or group that carries out the act. Money laundering is the processing of these criminal proceeds to disguise their illegal origin. This process is of critical importance, as it enables the criminal to enjoy these profits without jeopardising their source.

Illegal arms sales, smuggling, and the activities of organised crime, including for example drug trafficking and prostitution rings, can generate huge amounts of proceeds. Embezzlement, insider trading, bribery and computer fraud schemes can also produce large profits and create the incentive to “legitimise” the ill-gotten gains through money laundering.

When a criminal activity generates substantial profits, the individual or group involved must find a way to control the funds without attracting attention to the underlying activity or the persons involved. Criminals do this by disguising the sources, changing the form, or moving the funds to a place where they are less likely to attract attention.

In response to mounting concern over money laundering, the Financial Action Task Force on money laundering (FATF) was established by the G-7 Summit in Paris in 1989 to develop a coordinated international response. One of the first tasks of the FATF was to develop Recommendations, 40 in all, which set out the measures national governments should take to implement effective anti-money laundering programmes.

How Is Money Laundering?

In the initial - or placement - stage of money laundering, the launderer introduces his illegal profits into the financial system. This might be done by breaking up large amounts of cash into less conspicuous smaller sums that are then deposited directly into a bank account, or by purchasing a series of monetary instruments (cheques, money orders, etc.) that are then collected and deposited into accounts at another location.

After the funds have entered the financial system, the second - or layering - stage takes place. In this phase, the launderer engages in a series of conversions or movements of the funds to distance them from their source. The funds might be channelled through the purchase and sales of investment instruments, or the launderer might simply wire the funds through a series of accounts at various banks across the globe. This use of widely scattered accounts for laundering is especially prevalent in those jurisdictions that do not co-operate in anti-money laundering investigations. In some instances, the launderer might disguise the transfers as payments for goods or services, thus giving them a legitimate appearance.

Having successfully processed his criminal profits through the first two phases the launderer then moves them to the third stage - integration - in which the funds re-enter the legitimate economy. The launderer might choose to invest the funds into real estate, luxury assets, or business ventures.

where Does Money Laundering Occur?

As money laundering is a consequence of almost all profit generating crime, it can occur practically anywhere in the world. Generally, money launderers tend to seek out countries or sectors in which there is a low risk of detection due to weak or ineffective anti-money laundering programmes. Because the objective of money laundering is to get the illegal funds back to the individual who generated them, launderers usually prefer to move funds through stable financial systems. Money laundering activity may also be concentrated geographically according to the stage the laundered funds have reached. At the placement stage, for example, the funds are usually processed relatively close to the under-lying activity; often, but not in every case, in the country where the funds originate.

With the layering phase, the launderer might choose an offshore financial centre, a large regional business centre, or a world banking centre - any location that provides an adequate financial or business infrastructure. At this stage, the laundered funds may also only transit bank accounts at various locations where this can be done without leaving traces of their source or ultimate destination.

Finally, at the integration phase, launderers might choose to invest laundered funds in still other locations if they were generated in unstable economies or locations offering limited investment opportunities.

How does Money laundering affect business?

The integrity of the banking and financial services marketplace depends heavily on the perception that it functions within a framework of high legal, professional and ethical standards. A reputation for integrity is the one of the most valuable assets of a financial institution.

If funds from criminal activity can be easily processed through a particular institution - either because its employees or directors have been bribed or because the institution turns a blind eye to the criminal nature of such funds - the institution could be drawn into active complicity with criminals and become part of the criminal network itself. Evidence of such complicity will have a damaging effect on the attitudes of other financial intermediaries and of regulatory authorities, as well as ordinary customers.

As for the potential negative macroeconomic consequences of un money laundering, one can cite inexplicable changes in money demand,prudential risks to bank soundness, contamination effects on legal financial transactions, and increased volatility of international capital flows and exchange rates due to unanticipated cross-border asset transfers. Also, as it rewards corruption and crime, successful money laundering damages the integrity of the entire society and undermines democracy and the rule of the law.



What is the Connection with society at large?

The possible social and political costs of money laundering, if left un or dealt with ineffectively, are serious. Organised crime can infiltrate financial institutions, acquire control of large sectors of the economy through investment, or offer bribes to public officials and indeed governments.

The economic and political influence of criminal organisations can weaken the social fabric, collective ethical standards, and ultimately the democratic institutions of society. In countries transitioning to democratic systems, this criminal influence can undermine the transition. Most fundamentally, money laundering is inextricably linked to the underlying criminal activity that generated it. Laundering enables criminal activity to continue.

How does Fighting money laundering help fight crime?

Money laundering is a threat to the good functioning of a financial system; however, it can also be the Achilles heel of criminal activity.

In law enforcement investigations into organised criminal activity, it is often the connections made through financial transaction records that allow hidden assets to be located and that establish the identity of the criminals and the criminal organisation responsible.

When criminal funds are derived from robbery, extortion, embezzlement or fraud, a money laundering investigation is frequently the only way to locate the stolen funds and restore them to the victims.

Most importantly, however, targeting the money laundering aspect of criminal activity and depriving the criminal of his ill-gotten gains means hitting him where he is vulnerable. Without a usable profit, the criminal activity will not continue.

what should individual governmentd be doing about it?

A great deal can be done to fight money laundering, and, indeed, many governments have already established comprehensive anti-money laundering regimes. These regimes aim to increase awareness of the phenomenon - both within the government and the private business sector - and then to provide the necessary legal or regulatory tools to the authorities charged with combating the problem.

Some of these tools include making the act of money laundering a crime; giving investigative agencies the authority to trace, seize and ultimately confiscate criminally derived assets; and building the necessary framework for permitting the agencies involved to exchange information among themselves and with counterparts in other countries.

It is critically important that governments include all relevant voices in developing a national anti-money laundering programme. They should, for example, bring law enforcement and financial regulatory authorities together with the private sector to enable financial institutions to play a role in dealing with the problem. This means, among other things, involving threlevant authorities in establishing financial transaction reporting systems, customer identification, record keeping

**Signature of the client**

**Date :**

**ELECTRONIC CONTRACT NOTE (ECN) DECLARATION (VOLUNTARY)**

**ENRICH FINANCIAL SOLUTION PRIVATE LIMITED**  
Member of Multi Commodity Exchange of India Ltd. (MCX)  
, National Stock Exchange (NSE) & Bombay Stock Exchange (BSE)  
New No. 241, Old No. 85-86, 3rd Floor, Ziad court,  
Kodambakkam, Chennai - 600024, Tamil Nadu, India.

Appendix A

Dear Sir,

I, a client with Member M /s. Enrich Financial Solution Pvt. Ltd. of MCX & NSE & BSE Exchange undertake as follows:

I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form. I am aware that the Member has to provide electronic contract note for my convenience on my request only.

Though the Member is required to deliver physical contract note, I and that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for Delivery of electronic contract note pertaining to all the trades carried out / ordered by me.

I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.

My email id is\* This has been created by me and not by someone else.

I authorized that any change in the email-id shall be communicated by us through a physical letter to Enrich Financial Solution Pvt. Ltd. In respect of the request for change of email id may be made through the secured access by my/our specific user id and password provided by Enrich Financial Solution Pvt. Ltd.

I am aware that this declaration form should be in English or in any other language known to me.

I am aware that non-receipt of bounced mail notification by the member shall amount to delivery of the contract note at the above e-mail ID.

The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same.

\* (The email id must be written in Own handwriting of the client.)

**Client Name :**

**Unique Client Code :**

**PAN :**

**Address :**

**Signature of the Client**

**Verification of the client signature done by,**

**Name of the designated of**

**Signature**

sign



**Date :**

**Place :**

TO

**ENRICH FINANCIAL SOLUTION PRIVATE LIMITED**

Member of Multi Commodity Exchange of India Ltd. (MCX)  
, National Stock Exchange (NSE) & Bombay Stock Exchange (BSE)  
#241, Ziad Court, 3rd Floor B, Rangarajapuram Main Road,  
Kodambakkam, Chennai - 600024, Tamil Nadu, India.

I/We are dealing through you as a client in Commodity / Securities segment in order to facilitate ease of operations and upfront requirement of margin for trade. I/We authorize you as under:

1. I/We request you to kindly note that the orders placed/modified/cancelled by me/us should NOT be noted in the order book, as the same is a time-consuming affair and may lead to loss due to non-execution of the order in time due to the formalities involved.
2. I/We hereby authorize you to accept verbal instructions for placement / modification / cancellation of orders and I / we expressly agree that once the trade confirmation is sent by you and / or contract note is accepted by me / us that shall be final as far as execution / no execution / inappropriate execution of any of my / our orders for a particular trading day.
3. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation unless I/we instruct you otherwise.
4. I/We request you to retain commodity / securities with you for my/our margin/pay -in/other-future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing Corporation, unless I/We instruct you to transfer the same to my/our account.
5. I/We request you to settle my fund and commodity / securities account
  - Once in every calendar Quarter or
  - Once in a calendar Month or
  - Such Other higher period as allowed by SEBI/ Exchanges time to time

Except the funds given towards collateral/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.

6. In case I/We have an outstanding obligation on the settlement date, you may retain the requisite commodity/securities funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.
7. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing within 7 working days from the date of receipt of funds/commodity/securities or statement of account or statement related to it, as the case may be at your registered office. After that I /We shall have no right to dispute the transaction, funds and/or commodity / securities ever and agree that you shall not be liable for any incidental loss/damage caused due to retention of funds and/or commodity / securities.
8. I/We confirm you that I/We can revoke the above-mentioned authority any time by giving notice in writing to you
9. I/We confirm you that you may retain the amount as stipulated by exchange/SEBI (Net amount across all segments and across commodity / securities exchanges) from actual settlement of funds.

Thanking you  
Yours faithfully,

**Signature****Client Name :****Client Code :**

**DISCLOSURE BY MEMBER TO CLIENT UNDER PMLA 2002**

Dear Client,

**Subject: Prevention of Money Laundering**

Money laundering is a process of making dirty money clean. Money is moved around the financial system again and again in such manner that its origin gets hidden. It involves complex chain of activities whereby huge amount of money generated from illegitimate activities viz. selling of narcotic drugs, extortion, corruption, illicit dealing in weapons, human trafficking, etc. is put through a series of process so that it comes out at the other end as clean and legal money. Terrorist organizations encourage money laundering to support their illegal acts. It is important to note that due to increased vigilance in the wake of threats emanating from increasing terrorism, any failure on our part to discharge the duties cast on us under the applicable laws or we becoming an instrumental or apart of the chain, even if unknowingly or ignorantly, may invite the trouble.

In order to fight against the money laundering and terrorist financing the Prevention of Money Laundering Act (PMLA) was brought into force w.e.f. July 1, 2005 in India. Guidelines were also issued in the context of the recommendations made by the Financial Action Task Force on anti-money laundering standards. Compliance with these standards has become imperative for international financial relations. PMLA is applicable to every intermediary registered with SEBI, which includes a Commodity / Securities Brokers and any other intermediary associated with Market. As per the provisions of PMLA, the intermediaries are required to comply with Know Your Client (KYC) norms, conduct ongoing client due diligence to ensure that the activity being conducted in any account is consistent with the intermediary's knowledge of the client, its business and risk profile.

In light of the above, you are requested to provide the information or documents evidencing source of funds, income tax returns, bank records, demat holding, etc. at the time of registration as a client with us or subsequently whenever asked for during the course of your dealings with us.

**DISCLOSURE BY CLIENT UNDER PMLA 2002**

**Client Name:**

Details of the Corporate/Partnership Firm/Trust/etc. where I/We am/are affiliated

S.No	Name	Entity Type	Nature of Business	Relationship	PAN

I/We hereby submit and agree to submit as the commitment every financial year the following documents to Enrich Financial Solution Pvt. Ltd

1. Audited Annual Accounts
2. Self-attested copy of Income Tax Return or
3. Any other Financial information as requested by the Enrich Financial Solution Pvt. Ltd.

I/We further confirm that I/we invest in the Market with:

- My/our owned funds
- Borrowed Funds from Bank/NBFC or
- Borrowed Funds from others

I/We hereby declare that I/We am/are not doing any benami transactions in my/our account. I/We understand that in case of any Cash/ Suspicious/ Benami transactions being observed into my account, the Member may report the same to Director FIU-IND, New Delhi under the provisions of PMLA, 2002 and thereafter I/We will be liable for any enquiry or penalty which might be levy by the Regulatory Authority under the said Act.

**Signature of the client**

**DISCLOSURE INFORMATION (For Enrich Financial Solution Pvt. Ltd.)**

To,

Dear Client,

Sir/Madam,

This is to inform you that we do client based trading only and we are not entertaining PRO-Account trading in any exchanges

I/ We acknowledge the receipt of the information given by Enrich Financial Solution Pvt. Ltd. that they do client based trading and Proaccount trading.

**Sign Here**

<p><b>For ENRICH FINANCIAL SOLUTION PVT. LTD</b></p> <p>sign</p>   <p>Authorized Signatory</p>
---